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PTO/SB/21 (07-06)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	

Application Number	10/682,575
Filing Date	October 9, 2003
First Named Inventor	Sanjay Ghatare
Art Unit	2165
Examiner Name	Farhan M Syed
Attorney Docket Number	021756-014200US

ENCLOSURES *(Check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/ Incomplete Application
  - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
  - ☐ Landscape Table on CD
- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC **(Appeal Notice, Brief, Reply Brief)**
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
  - SB/08 Form
  - 42 References
  - Return Postcard

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	William J. Daley		
Date	March 30, 2007	Reg. No.	52,471

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PATENT
Attorney Docket No.: 021756-014200US
Client Reference No.: OID-2005-173-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Confirmation No.: 8495
Sanjay Ghatare	Examiner: Farhan M Syed
Application No.: 10/682,575	Art Unit: 2165
Filed: October 9, 2003	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98
For: SUPPORT FOR RDBMS IN LDAP SYSTEM	
Customer No.: 51206	

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of $180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Date: March 30, 2007

William J. Daley
Reg. No. 52,471

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 303-571-4000
Fax: 303-571-4321
WJD:ng

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 5

Complete if Known	
Application Number	10/682,575
Filing Date	October 9, 2003
First Named Inventor	Sanjay Ghatare
Art Unit	2165
Examiner Name	Farhan M Syed
Attorney Docket Number	021756-014200US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number Number Kind Code[2] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-5,721,900 A	02-24-1998	Banning et al.	
	A2	US-5,815,703	09-29-1998	Copeland et al.	
	A3	US-5,901,287 A	05-04-1999	Bull et al.	
	A4	US-6,078,747	06-20-2000	Jewitt, James W.	
	A5	US-6,104,705 A	08-15-2000	Ismail et al.	
	A6	US-6,105,006	08-15-2000	Davis et al.	
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	A8	US-6,195,710 B1	02-27-2001	Borgendale et al.	
	A9	US-6,253,257 B1	06-26-2001	Dundon, Jack P.	
	A10	US-6,260,077 B1	07-10-2001	Rangarajan et al.	
	A11	US-6,278,993 B1	08-21-2001	Kumar et al.	
	A12	US-6,279,043 B1	08-21-2001	Hayward et al.	
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	A14	US-6,323,881	11-27-2001	Broulik	
	A15	US-6,353,929	03-05-2002	Houston, John S.	
	A16	US-6,356,996	03-12-2002	Adams, Phillip M.	
	A17	US-2002-0049749 A1	04-25-2002	Helgeson et al.	
	A18	US-6,401,138	06-04-2002	Judge et al.	
	A19	US-2002-0083178 A1	06-27-2002	Brothers, John David West	
	A20	US-6,415,368	07-02-2002	Glance et al.	
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	A22	US-6,523,022 B1	02-18-2003	Hobbs, Allen	
	A23	US-6,529,941	03-04-2003	Haley et al.	
	A24	US-6,549,941	04-15-2003	Jaquith et al.	
	A25	US-2003-0083908 A1	05-01-2003	Steinmann, Sylvia	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3]	Number[4]	Kind Code[5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]
	B1							☐
	B2							☐

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/682,575
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				Art Unit	2165
(Use as many sheets as necessary)				Examiner Name	Farhan M Syed
Sheet	2	of	5	Attorney Docket Number	021756-014200US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
	C1	Camenisch, Jan et al., "Privacy and Identity Management for Everyone", ACM, 2005, 8 pages	☐
	C2	Kim, K.H., "APIs for Real-Time Diistributed Object Programming", Coputer, IEEE 2000, June, pp72-80	☐
	C3	McLellan, et al., "Building More Usable APIs", IEEE Software, pp 78-86, May/June, 1998	☐
	C4	Microsoft, "Microsoft's Vision for an Identity Metasystem", Microsoft Corporation, http://www.identityblog.com/stories/2005/07/05/IdentityMetasystem.htm, printed date October 6. 2006, 9 pages	☐
	C5	PC PRO, "Identity Systems", http://research.pcpro.co.uk/detail/ORG/1139341311_744.html, printed date October 6, 2006, 2 pages	☐
	C6	Prasad, CK, "Distributing Sun Java System Identity Server Applications Using Java Web Start", Article Index, April 2004, 8 pages	☐
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	C8	Saba - Company, dated 1997-2006, 1 page	☐
	C9	Saba - Solutions, Competency-Driven HCM, dated 1997-2006, 1 page	☐
	C10	Saba - Talent, dated 1997-2006, 2 pages	☐
	C11	Saba - Collaboration, dated 1997-2006, 1 page	☐
	C12	Stets, et al., "Component-Based APIs for Versioning and Distributed Applications", IEEE, pp 54-61, 1999	☐

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 5

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Application Number	10/682,575
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Art Unit	2165
Examiner Name	Farhan M Syed
Attorney Docket Number	021756-014200US

NON PATENT LITERATURE DOCUMENTS

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	C13	US Patent Application No. 09/570,276, Office Action dated November 14, 2006, 28 pages	☐
	C14	US Patent Application No. 09/997,408, Office Action dated August 7, 15 pages	☐
	C15	US Patent Application No. 09/997,409, Office Action dated May 3, 2006, 9 pages	☐
	C16	US Patent Application No. 09/997,409, Office Action dated October 20, 2006, 9 pages	☐
	C17	US Patent Application No. 09/998,893, Office Action dated July 17, 2006, 17 pages	☐
	C18	US Patent Application No. 09/998,893, Final Office Action dated January 4, 2007, 12 pages	☐
	C19	US Patent Application No. 09/998,895, Final Office Action dated July 20, 2006, 22 pages	☐
	C20	US Patent Application No. 09/998,895, Final Office Action dated January 18, 2007, 17 pages	☐
	C21	US Patent Application No. 09/998,898, Office Action dated March, 28, 2006, 7 pages	☐
	C22	US Patent Application No. 09/998,898, Advisory Office Action dated December 29, 2006, 3 pages	☐
	C23	US Patent Application No. 09/998,898, Office Action dated March 7, 2007, 10 pages	☐
	C24	US Patent Application No. 09/998,908, Final Office Action dated August 22, 2006, 25 pages	☐

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 5

Complete if Known	
Application Number	10/682,575
Filing Date	October 9, 2003
First Named Inventor	Sanjay Ghatare
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Examiner Name	Farhan M Syed
Attorney Docket Number	021756-014200US

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	C25	US Patent Application No. 09/998,910, Final Office Action dated February 14, 2007, 15 pages	☐
	C26	US Patent Application No. 09/998,914, Final Office Action dated February 16, 2007, 13 pages	☐
	C27	US Patent Application No. 09/998,916, Advisory Action dated April 5, 2006, 11 pages	☐
	C28	US Patent Application No. 09/998,916, Office Action dated September 5, 2006, 20 pages	☐
	C29	US Patent Application No. 09/998,916, Office Action dated February 23, 2007 12 pages	☐
	C30	US Patent Application No. 09/998,926, Office Action dated September 11, 2006, 19 pages	☐
	C31	US Patent Application No. 09/998,926, Office Action dated February 26, 2007, 16 pages	☐
	C32	US Patent Application No. 10/145,684, Office Action dated June 30, 2006, 25 pages	☐
	C33	US Patent Application No. 10/146,150, Office Action dated May 30, 2006, 18 pages	☐
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	C36	US Patent Application No. 10/314,888, Office Action dated February 9, 2007, 34 pages	☐

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				Art Unit	2165
(Use as many sheets as necessary)				Examiner Name	Farhan M Syed
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	C37	US Patent Application No. 10/325,465, Office Action dated September 19, 2006, 25 pages	☐
	C38	US Patent Application No. 10/327,607, Office Action dated April 18, 2006, 33 pages	☐
	C39	US Patent Application No. 10/328,920, Office Action dated May 31, 2006, 20 pages	☐
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	C42	Wahl, M., "Informed Control Enabling Identity Interoperability", http://www.ldap.com/1/commentary/wahl/20050203_01.shtml, Feb 3, 2005, 3 pages	☐

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